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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
OKI.311

11017 U.S. PTO  
10/08/511  
02/28/02

In Re Application: **Fumihiko MORIYA**

Serial No.

NEW

Filing Date

FEB. 28, 2002

Examiner

TO BE ASSIGNED

Group Art Unit

TO BE ASSIGNED

**SEMICONDUCTOR DEVICE WITH SLOT ABOVE GUARD RING**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
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Dated: **FEB. 28, 2002**

  
Signature

**ADAM C. VOLENTINE**

**REG. NO. 33289**

**VOLENTINE FRANCOS, PLLC**  
**12200 SUNRISE VALLEY DRIVE, SUITE 150**  
**RESTON, VA 20191**

**TEL. NO. (703) 715-0870**

CC:

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
OKI.311

In Re Application Of: **Fumihito MORIYA**

1017 U.S. PRO  
10/084511

02/28/02

Serial No.  
NEW

Filing Date  
FEB. 28, 2002

Examiner  
TO BE ASSIGNED

Group Art Unit  
TO BE ASSIGNED

Title: **SEMICONDUCTOR DEVICE WITH SLOT ABOVE GUARD RING**

Address to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).